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PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Sector

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/909,012
	Filing Date	7/19/01
	First Named Inventor	SAKSENA, et al
	Group Art Unit	1633
	Examiner Name	To Be Assigned
Total Number of Pages in This Submission	38	Attorney Docket Number IN01158K

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Notice to File Missing Parts 3 Executed Declarations Return Post Card
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	SCHERING-PLOUGH CORPORATION PATENT DEPARTMENT, K-6-1-1990 PALAIYUR S. KALYANARAMAN
Signature	
Date	OCTOBER 9, 2001

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: October 9, 2001	
Typed or printed name	PALAIYUR S. KALYANARAMAN
Signature	
Date	October 9 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PATENT IN01158K

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Saksena et al.

Application No.: 09/909,012

Filed: July 19, 2001

For:

NOVEL PEPTIDES AS NS3-SERINE PROTEASE INHIBITORS OF HEPATITIS C VIRUS

Assistant Commissioner For Patents

Attention: Customer Service Center- Initial Patent Examination Division
Washington, D.C. 20231



Examiner: To Be Assigned

Group Art Unit: 1633

MISSING PARTS

Sir:

The subject non-provisional patent application, Serial No. 09/909,012 was filed on July 19, 2001. At the time of filing, the signed Declaration/Power of Attorney from inventors, Haiyan Wang, Tejal Parekh and Bama Santhanam was missing. Applicants are now filing the Declaration and Power of Attorney (3 sets), signed by inventors Wang, Parekh and Santhanam separately. A copy of the "Notice to File Missing Parts of Non-Provisional Application" is attached. The Office is authorized to charge the \$ 130.00 fee to Account Number 19-0365.

If there are any questions, the Office is invited to contact the undersigned.

October 9, 2001
Schering-Plough Corporation
2000 Galloping Hill Road
Patent Department, K-6-1,1990
Kenilworth, NJ 07033
Tel: (908) 298-5068
Fax: (908) 298-5388

Respectfully submitted,

Dr. Palaiyur S. Kalyanaraman
Attorney for Applicants
Reg. No. 34,634

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Box Missing Parts, Provisional, Washington, D.C. 20231 on October 9, 2001.

Palaiyur S. Kalyanaraman

Date of Signature

10/9/01

Express Mail Label No.:

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PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE**FEE TRANSMITTAL**
for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 130.00)

Complete if Known

Application Number	09/909,012
Filing Date	July 19, 2001
First Named Inventor	SAKSENA, et al
Examiner Name	To Be Assigned
Group Art Unit	1633
Attorney Docket No.	IN01158K

METHOD OF PAYMENT

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

19-0365

Deposit
Account
Name

Schering-Plough Corporation

- ☒
- Charge Any Additional Fee Required
-
- Under 37 CFR 1.16 and 1.17

- ☐
- Applicant claims small entity status.
-
- See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

- ☐
- Check
- ☐
- Credit card
- ☐
- Money
-
- Order
- ☐
- Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$) Fee Paid

101 740 201 370 Utility filing fee

106 330 206 165 Design filing fee

107 510 207 255 Plant filing fee

108 740 208 370 Reissue filing fee

114 160 214 80 Provisional filing fee

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent Claims	-3** =	X	

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$) Fee Paid

103 18 203 9 Claims in excess of 20

102 84 202 42 Independent claims in excess of 3

104 280 204 140 Multiple dependent claim, if not paid

109 84 209 42 ** Reissue independent claims over original patent

110 18 210 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130 205 65		Surcharge - late filing fee or oath	
127 50 227 25		Surcharge - late provisional filing fee or cover sheet	
139 130 139 130		Non-English specification	
147 2,520 147 2,520		For filing a request for <i>ex parte</i> reexamination	
112 920* 112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action	
115 110 215 55		Extension for reply within first month	
116 400 216 200		Extension for reply within second month	
117 920 217 460		Extension for reply within third month	
118 1,440 218 720		Extension for reply within fourth month	
128 1,960 228 980		Extension for reply within fifth month	
119 320 219 160		Notice of Appeal	
120 320 220 160		Filing a brief in support of an appeal	
121 280 221 140		Request for oral hearing	
138 1,510 138 1,510		Petition to institute a public use proceeding	
140 110 240 55		Petition to revive - unavoidable	
141 1,280 241 640		Petition to revive - unintentional	
142 1,280 242 640		Utility issue fee (or reissue)	
143 460 243 230		Design issue fee	
144 620 244 310		Plant issue fee	
122 130 122 130		Petitions to the Commissioner	
123 50 123 50		Processing fee under 37 CFR 1.17(q)	
126 180 126 180		Submission of Information Disclosure Stmt	
581 40 581 40		Recording each patent assignment per property (times number of properties)	
146 740 246 370		Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740 249 370		For each additional invention to be examined (37 CFR § 1.129(b))	
179 740 279 370		Request for Continued Examination (RCE)	
169 900 169 900		Request for expedited examination of a design application	
Other fee (specify) Notice to File Missing Parts			\$130.00

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 130.00)

SUBMITTED BY

Name (Print/Type)

PALAIYUR S. KALYANARAMAN

Registration No.
(Attorney/Agent)

34,634

Complete (if applicable)

Telephone

(908)298-5068

Signature

Date

October 9, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/909,012	07/19/2001	Anil K. Saksena	IN01158K

24265
SCHERING-PLOUGH CORPORATION
PATENT DEPARTMENT (K-6-1, 1990)
2000 GALLOPING HILL ROAD
KENILWORTH, NJ 07033-0530



CONFIRMATION NO. 8726
FORMALITIES LETTER



OC00000006498654

Date Mailed: 08/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:
Haiyan Wang, Tejal Parekh and Bama Santhanam
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

10/15/2001 KZEHDI 00000030 190365 09909012

01 FC:105 130.00 CH

*A copy of this notice **MUST** be returned with the reply.*

Samuel

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov


Bib Data Sheet

CONFIRMATION NO. 8726

SERIAL NUMBER	FILING DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.
09/909,012	07/19/2001	435	1633	IN01158K
	RULE			

APPLICANTS

Anil K. Saksena, Upper Montclair, NJ;
 Viyyoor Moopil Girjavallabhan, Parsippany, NJ;
 Stephane L. Bogen, Somerset, NJ;
 Raymond G. Lovey, West Caldwell, NJ;
 Edwin E. Jao, Warren, NJ;
 Frank Bennett, Piscataway, NJ;
 Jinping L. McCormick, Edison, NJ;
 Haiyan Wang, Cranbury, NJ;
 Russell E. Pike, Stanhope, NJ;
 Yi-Tsung Liu, Morris Township, NJ;
 Tin-Yau Chan, Edison, NJ;
 Zhaoning Zhu, East Windsor, NJ;
 Ashok Arasappan, Bridgewater, NJ;
 Kevin X. Chen, Iselin, NJ;
 Srikanth Venkatraman, Fords, NJ;
 Tejal Parekh, Mountain View, CA;
 Patrick A. Pinto, Morris Plains, NJ;
 Bama Santhanam, Bridgewater, NJ;
 F. George Njoroge, Warren, NJ;
 Ashit K. Ganguly, Upper Montclair, NJ;
 Henry A. Vaccaro, South Plainfield, NJ;
 Scott Jeffrey Kemp, San Diego, CA;
 Odile Esther Levy, San Diego, CA;
 Marguerita Lim-Wilby, La Jolla, CA;
 Susan Y. Tamura, Santa Fe, NM;

RECEIVED

OCT 1 1 2001

TECH CENTER 1600/2900

** CONTINUING DATA *****

THIS APPLN CLAIMS BENEFIT OF 60/220,107 07/21/2000

** FOREIGN APPLICATIONS *****

IF REQUIRED, FOREIGN FILING LICENSE GRANTED

** 08/30/2001

Foreign Priority claimed	<input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY	SHEETS DRAWING	TOTAL CLAIMS	INDEPENDENT CLAIMS
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance	NJ		40	3
Verified and Acknowledged	Examiner's Signature	Initials			

ADDRESS

24265

TITLE

Novel peptides as NS3-serine protease inhibitors of hepatitis C virus

**FILING FEE
RECEIVED**
1376

FEES: Authority has been given in Paper
No. _____ to charge/credit DEPOSIT ACCOUNT
No. _____ for following:

☐ 1.16 Fees (Filing)

☐ 1.17 Fees (Processing Ext. of
time)

☐ 1.18 Fees (Issue)

☐ Other _____

☐ Credit